



Investor FAQ

How do I purchase stock in LightPath Technologies?

You will need to contact a licensed stockbroker to purchase shares of the company.

What is LightPath Technologies' ticker symbol and on what market does it trade?

Our ticker symbol is [LPTH](#) and our common stock currently trades on the Nasdaq Capital Market (CM).

How can I obtain historical prices for LightPath's stock?

[Historical pricing](#) for LightPath's stock

Who is the transfer agent for LightPath Technologies?

Computershare is the transfer agent and registrar for LightPath Technologies and maintains shareholder records for the company.

Please contact Computershare at:

Computershare Shareholder Services

PO Box 30170

College Station, TX 77842-3170

Overnight correspondence address:

Computershare Shareholder Services

211 Quality Circle, Suite 210

College Station, TX 77845

Shareholder website: www.computershare.com/investor

Shareholder online inquiries: <https://www-us.computershare.com/investor/contact>

Does LightPath pay a cash dividend?

No, instead of paying dividends, LightPath intends to retain earnings for use in its business and as part of its growth strategy, and does not anticipate paying cash dividends in the foreseeable future.

When are each quarter's financial results announced?

LightPath operates on a fiscal year that begins July 1 and runs through June 30. The announcements of financial results are usually during the sixth week following the quarter end. The scheduled announcement date is announced after each quarter has ended.

Where can I view LightPath's financial statements, such as Balance Sheets, Form 10K, and Form 10Q?

This information is available via the website under the [Financial Documents](#) area.

Has LightPath's Board of Directors adopted the required codes of conduct for employees, officers and directors?

Yes, LightPath has adopted the required codes of conduct.



How can shareholders communicate directly to LightPath's Board of Directors?

Shareholder Communications (with Board of Directors)

The Board does hereby resolve that any shareholder may communicate with the Board of Directors by contacting the Secretary of the Corporation and communicating, at a minimum, contact information for himself or herself. Any such shareholder may also communicate privately to the Board via the Secretary of the Corporation by U.S. mail directed to the Board of Directors (or a specific director), c/o the Secretary of the Corporation. The Secretary of the Corporation shall, without exception and without screening of any sort, promptly forward the communication and its content in its entirety to the Chairman of the Audit Committee of the Board. The Chairman of the Audit Committee shall, at his discretion and in full compliance with Governing Rules, determine how best to respond to the shareholder, if at all, and to bring the concern or question of the shareholder, if deemed necessary, to the attention of the full Board for further deliberation, consideration, and response.

The address for this procedure is:

**Board of Directors
c/o Secretary
LightPath Technologies, Inc.
2603 Challenger Tech Court, Suite 100
Orlando, FL 32826**

This resolution was adopted by the Board of Directors of LightPath Technologies, Inc., on May 3, 2004.

Does LightPath's Board of Directors have a policy regarding the attendance of Directors at the Board meetings?

The Company intends to comply with Governing Rules regarding the reporting of attendance, or lack thereof, of Board members at the Annual Meeting of the Shareholders in each year. To further the objective of such compliance regarding attendance, it is the Board's intention to schedule one of its quarterly, in-person Board meetings approximately concurrent with the Annual Meeting of the Shareholders. The Board does therefore resolve that it is the Company's policy that all directors of the Company make concerted and conscientious efforts to attend the Company's Annual Meeting of the Shareholders in each year during which that director serves on the Board of the Company. This resolution was adopted by the Board of Directors of LightPath Technologies, Inc., on May 3, 2004.

LightPath's Investor Relations department:

**LightPath Technologies, Inc.
Investor Relations
2603 Challenger Tech Court, Suite 100
Orlando, FL 32826
Phone: +1 (407) 382-4003
[LightPath Investor Relations](#)**